



PATENT
Customer No. 22,852
Attorney Docket No. 6502.0111-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Ann M. WOLLRATH et al.

Application No.: 08/636,706

Filed: April 23, 1996

For: System And Method For
Facilitating Dynamic Loading Of
"Stub" Information To Enable a
Program Operating In One Address
Space To Invoke Processing Of A
Remote Method Or Procedure In
Another Address Space

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OCT 19 2004

Technology Center 2100

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

**SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97(c)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), Applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed after the events recited in Section 1.97(b) but, to the undersigned's knowledge, before the mailing date of either a Final Action, Quayle Action, or a Notice of Allowance. Under the provisions of 37 C.F.R. § 1.97(c), this Information Disclosure Statement is accompanied by a fee of \$180.00 as specified by Section 1.17(p).

10/15/2004 FFANAEIA 00000023 08636706

01 FC:1806

180.00 0P

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

Copies of the listed documents are attached.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claims in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

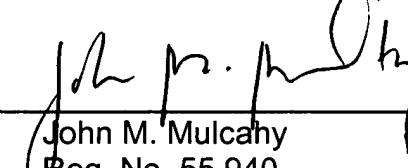
Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any additional fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

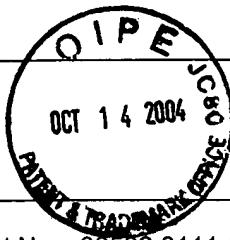
Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: October 14, 2004

By: 

John M. Mulcahy
Reg. No. 55,940



INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

Atty. Docket No.: 06502.0111-00000

Application No.: 08/636,706

RECEIVED

Applicant: Ann M. Wollrath et al.

OCT 19 2004

Filing Date: April 23, 1996

Group 2126

Technology Center 2100

U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Date	Name	Class	Sub Class	Filing Date If Appropriate
	US2003/01919842 A1	10/9/2003	Murphy et al.			
	US5,806,042	9/8/1998	Kelly et al.			
	US5,873,099	2/16/1999	Hogan et al.			
	US6,018,619	1/25/2000	Allard et al.			
	US6,052,724	4/18/2000	Willie et al.			
	US6,167,449	12/26/2000	Arnold et al.			
	US6,301,613 B1	10/9/2001	Ahlstrom et al.			
	US6,418,468 B1	7/9/2002	Ahlstrom et al.			

FOREIGN PATENT DOCUMENTS

Examiner Initial*	Document Number	Date	Country	Class	Sub Class	Translation Yes or No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Amitabh et al., "Proxies, Application Interfaces, and Distributed Systems," IEEE, pp. 212-220, 1992.
	Wollrath et al., "Java-Centric Distributed Computing," IEEE Micro, pp. 44-53, 1997.

Examiner	Date Considered:
----------	------------------

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

JAB

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PLEASE STAMP TO ACKNOWLEDGE RECEIPT OF THE FOLLOWING:

In Re Application of: Ann M. WOLLRATH et al.

Serial No.: 08/636,706

Group Art Unit: 2755

Filed: April 23, 1996

Examiner: P. Caldwell

For: SYSTEM AND METHOD FOR FACILITATING DYNAMIC LOADING OF "STUB"
INFORMATION TO ENABLE A PROGRAM OPERATING IN ONE ADDRESS SPACE
TO INVOKE PROCESSING OF A REMOTE METHOD OR PROCEDURE IN
ANOTHER ADDRESS SPACE

1. Information Disclosure Statement, PTO Form 1449, 146 References
2. Check No. 039150 in the amount of \$240.00

Dated: October 16, 2000

Docket No.: 6502.0299-00

JAB/SBC:lad - Mail Drop 852



(Due Date: None)

OCT 16 2000

PATENT
Attorney Docket No. 6502.0299-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Ann M. WOLLRATH et al.)
Serial No.: 08/636,706) Group Art Unit: 2755
Filed: April 23, 1996) Examiner: P. Caldwell
For: SYSTEM AND METHOD FOR)
FACILITATING DYNAMIC)
LOADING OF "STUB")
INFORMATION TO ENABLE A)
PROGRAM OPERATING IN)
ONE ADDRESS SPACE TO)
INVOKING PROCESSING OF A)
REMOTE METHOD OR)
PROCEDURE IN ANOTHER)
ADDRESS SPACE)

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Oct 19 2004

Technology Center 2100

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed after the events recited in Section 1.97(b) but, to the undersigned's knowledge, before the mailing date of either a Final Action or a Notice of Allowance. Under the provisions of 37 C.F.R. § 1.97(c), this Information Disclosure Statement is accompanied by a fee of \$240.00 as specified by Section 1.17(p)].

Copies of the listed documents are attached.

LAW OFFICES
FINNEGAN, HENDERSON,
FARABOW, GARRETT,
& DUNNER, L.L.P.
1300 I STREET, N.W.
WASHINGTON, DC 20005
202-408-4000

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claims in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

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If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: October 16, 2000

By: Walter F. Guttmann ^{Reg. No. 24,914}
for Jeffrey A. Berkowitz
Reg. No. 36,743

J. Bachman

JAB/EWA

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Technology Center 2100

PLEASE STAMP TO ACKNOWLEDGE RECEIPT OF THE FOLLOWING:

In Re Application of: **Ann M. WOLLRATH et al.**

Serial No.: **08/636,706**

Group Art Unit: **2126**

Filed: **April 23, 1996**

Examiner: **Sue X. LAO**

For: **SYSTEM AND METHOD FOR FACILITATING DYNAMIC LOADING OF
"STUB" INFORMATION TO ENABLE A PROGRAM OPERATING IN ONE
ADDRESS SPACE TO INVOKE PROCESSING OF A REMOTE METHOD
OR PROCEDURE IN ANOTHER ADDRESS SPACE**

1. Information Disclosure Statement Under 37 C.F.R. § 1.97(c)
2. PTO-1449 with attached 10 references
3. Check -- \$180.00

Dated: April 4, 2003

Docket No.: 6502.0111-00

Attorney: JABerkowitz/EHArner/965B - RES



JAB/EWA
Due Date: N/A

PATENT
Customer No. 22,852
Attorney Docket No. 6502.0111-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Ann M. WOLLRATH et al.) Group Art Unit: 2126
Application No.: 08/636,706) Examiner: Sue X. LAO
Filed: April 23, 1996)
For: System And Method For)
Facilitating Dynamic Loading Of)
"Stub" Information To Enable a)
Program Operating In One Address)
Space To Invoke Processing Of A)
Remote Method Or Procedure In)
Another Address Space)

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

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Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

FINNEGAN
HENDERSON
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GARRETT &
DUNNER LLP

1300 I Street, NW
Washington, DC 20005
202.408.4000
Fax 202.408.4400
www.finnegan.com

Copies of the listed documents are attached.

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Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

By:

Jeffrey A. Berkowitz
Reg. No. 36,743

Dated: April 4, 2003

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JAB/EHA

PLEASE STAMP TO ACKNOWLEDGE RECEIPT OF THE FOLLOWING:

In Re Application of: Ann M. WOLLRATH et al.

Serial No.: 08/636,706

Group Art Unit: 2126

Filed: April 23, 1996

Examiner: Sue X. LAO

For: SYSTEM AND METHOD FOR FACILITATING DYNAMIC LOADING OF
"STUB" INFORMATION TO ENABLE A PROGRAM OPERATING IN ONE
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OR PROCEDURE IN ANOTHER ADDRESS SPACE

1. Information Disclosure Statement Under 37 C.F.R. § 1.97(c) (2 pages)
2. PTO-1449 with attached 2 references
3. Check -- \$180.00

Dated: July 2, 2003

Docket No.: 6502.0111-00

Attorney: JABerkowitz/EHArner/965B - RES



Due Date: N/A

DR-174
07.03.02

PATENT
Customer No. 22,852
Attorney Docket No. 6502.0111-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Ann M. WOLLRATH et al.) Group Art Unit: 2126
Application No.: 08/636,706) Examiner: Sue X. LAO
Filed: April 23, 1996)
For: System And Method For)
Facilitating Dynamic Loading Of)
"Stub" Information To Enable a)
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Remote Method Or Procedure In)
Another Address Space)

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

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Customer No. 22,852
Attorney Docket No. 6502.0111-00

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

Copies of the listed documents are attached.

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Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

By:



Jeffrey A. Berkowitz
Reg. No. 36,743

Dated: July 2, 2003

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OCT 19 2004

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PLEASE STAMP TO ACKNOWLEDGE RECEIPT OF THE FOLLOWING:

In Re Application of: Ann M. WOLLRATH et al.

Serial No.: 08/636,706

Group Art Unit: 2126

Filed: April 23, 1996

Examiner: Sue X. LAO

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1. Information Disclosure Statement Under 37 C.F.R. § 1.97(c) (2 pages)
2. PTO-1449 with attached 15 references
3. Check -- \$180.00

Dated: September 17, 2003

Docket No.: 6502.0111-00

JAB/EHA/maw (965B – RES)

Due Date: N/A



DAD
bAB
9/18/03

PATENT
Customer No. 22,852
Attorney Docket No. 6502.0111-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Ann M. WOLLRATH et al.) Group Art Unit: 2126
Application No.: 08/636,706) Examiner: Sue X. LAO
Filed: April 23, 1996)
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Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

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FINNEGAN
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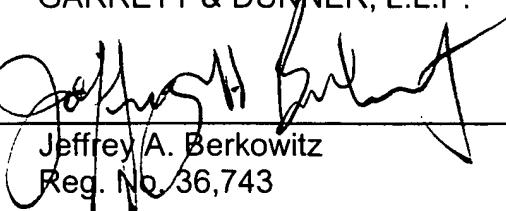
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Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

By:


Jeffrey A. Berkowitz
Reg. No. 36,743

Dated: September 11, 2003

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Technology Center 2100

JAB/ EHA

PLEASE STAMP TO ACKNOWLEDGE RECEIPT OF THE FOLLOWING:

In Re Application of: Ann M. WOLLRATH et al.

Serial No.: 08/636,706

Group Art Unit: 2755

Filed: April 23, 1996

Examiner: P. Caldwell

For: SYSTEM AND METHOD FOR FACILITATING DYNAMIC LOADING OF "STUB"
INFORMATION TO ENABLE A PROGRAM OPERATING IN ONE ADDRESS SPACE
TO INVOKE PROCESSING OF A REMOTE METHOD OR PROCEDURE IN
ANOTHER ADDRESS SPACE

JAN 19

1. Supplemental Information Disclosure Statement, PTO Form 1449, Copy of European Search Report, and 8 References
2. Check No. 042738 in the amount of \$180.00

Dated: January 18, 2001

Docket No.: 6502.0299-00

JAB/SBC:lad - Mail Drop 852

(Due Date: None) 1/19/01



PATENT
Customer Number 22,852
Attorney Docket No. 6502.0299-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Ann M. WOLLRATH et al.)
Serial No.: 08/636,706) Group Art Unit: 2755
Filed: April 23, 1996) Examiner: P. Caldwell
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INVOKING PROCESSING OF A)
REMOTE METHOD OR)
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ADDRESS SPACE)

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97(c)

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This Information Disclosure Statement supplements the one previously filed on October 16, 2000. Some of the documents listed in this Information Disclosure

Statement were first cited in a communication from the European Patent Office in a counterpart foreign application and some of the documents were cited during the prosecution of corresponding U.S. applications.

Copies of the listed documents are attached. Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

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Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: January 18, 2001

By: Jeffrey A. Berkowitz, Reg. #24,014
for
Reg. No. 36,743

LAW OFFICES
FINNEGAN, HENDERSON,
FARABOW, GARRETT,
& DUNNER, L.L.P.
1300 I STREET, N.W.
WASHINGTON, DC 20005
202-408-4000

PLEASE STAMP TO ACKNOWLEDGE RECEIPT OF THE FOLLOWING:

In Re Application of: Ann M. WOLLRATH et al.

Application No.: 08/636,706

Group Art Unit: 2151

Filed: April 23, 1996

Examiner: Sue X. Lao

For: **SYSTEM AND METHOD FOR FACILITATING DYNAMIC LOADING
OF "STUB" INFORMATION TO ENABLE A PROGRAM OPERATING
IN ONE ADDRESS SPACE TO INVOKE PROCESSING OF A REMOTE
METHOD OR PROCEDURE IN ANOTHER ADDRESS SPACE**



1. Supplemental Information Disclosure Statement Under 37 C.F.R. § 1.97(c)
2. Information Disclosure Citation (Form PTO-1449) -- 6 pages
3. 90 documents

Dated October 29, 2002

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Docket No.: 6502.0299-00

OCT 19 2004

(Due Date: N/A)

JEP/Judy Long, MD 862

Technology Center 2100

PATENT
Customer No. 22,852
Attorney Docket No. 06502.0299-00000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Ann M. WOLLRATH et al.) Group Art Unit: 2151
Application No.: 08/636,706) Examiner: Lao, S.
Filed: April 23, 1996)
For: System And Method For)
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"Stub" Information To Enable a)
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Space To Invoke Processing Of A)
Remote Method Or Procedure In)
Another Address Space)

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97(c)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), Applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement (IDS) supplements IDSs filed on June 21, 2002, April 29, 2002, December 20, 2001, April 18, 2001, January 18, 2001, and October 16, 2000. This Information Disclosure Statement is being filed after the events recited in Section 1.97(b) but, to the undersigned's knowledge, before the mailing date of either a Final Action, Quayle Action, or a Notice of Allowance. Under the provisions of 37 C.F.R. § 1.97(c), please charge the fee of \$180.00, as specified under § 1.17(p), to our Deposit Account No. 06-0916.

FINNEGAN
HENDERSON
FARABOW
GARRETT &
DUNNER LLP

1300 I Street, NW
Washington, DC 20005
202.408.4000
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www.finnegan.com

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Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: October 29, 2002

By: 
Joseph E. Palys
Reg. No. 46,508

FINNEGAN
HENDERSON
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DUNNER LLP

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6502.0299

JAS/EHA

PLEASE STAMP TO ACKNOWLEDGE RECEIPT OF THE FOLLOWING:

In Re Application of: Ann M. WOLLRATH et al.

Serial No.: 08/636,706

Group Art Unit: 2151

Filed: April 23, 1996

Examiner: Sue X. LAO

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1. Information Disclosure Statement Under 37 C.F.R. § 1.97(c) (2 pages)
2. PTO Form 1449 (3 pages), 1 box with 41 references
3. Check - \$180.00 (fee)

Dated June 21, 2002

Docket No.: 06502.0299-00000

Jeffrey A. Berkowitz/EHA



Please Return to Lois Ford - Mail Drop 1035

06502.0299-00000 B

PATENT
Customer No. 22,852
Attorney Docket No. 06502.0299

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Ann M. WOLLRATH et al.) Group Art Unit: 2151
Application No.: 08/636,706) Examiner: Sue X. LAO
Filed: April 23, 1996)
For: System And Method For)
Facilitating Dynamic Loading Of)
"Stub" Information To Enable a)
Program Operating In One Address)
Space To Invoke Processing Of A)
Remote Method Or Procedure In)
Another Address Space)

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), Applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed after the events recited in Section 1.97(b) but, to the undersigned's knowledge, before the mailing date of either a Final Action, Quayle Action, or a Notice of Allowance. Under the provisions of 37 C.F.R. § 1.97(c), this Information Disclosure Statement is accompanied by a fee of \$180.00 as specified under § 1.17(p).

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Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

Copies of the listed documents are attached.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claims in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any additional fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

By:


Jeffrey A. Berkowitz
Reg. No. 36,743

Dated: June 4, 2002

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JAB-EHA

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PLEASE STAMP TO ACKNOWLEDGE RECEIPT OF THE FOLLOWING:

In re Application of: Ann M. WOLLRATH et al.
Serial No. : 09/636,706 Group Art Unit: 2151
Filed: April 23, 1996 Examiner: Sue X. LAO

Title: SYSTEM AND METHOD FOR FACILITATING DYNAMIC
LOADING OF "STUB" INFORMATION TO ENABLE A
PROGRAM OPERATING IN ONE ADDRESS SPACE TO
INVOKE PROCESSING OF A REMOTE METHOD OR
PROCEDURE IN ANOTHER ADDRESS SPACE

1. Continued Prosecution Application Transmittal (4 pages)
2. Copy of prior specification (27 pages, including Appendix A-B,
drawings 6 sheets (Figs. 1 - 3a)
3. Information Disclosure Statement Under 37 C.F.R. § 1.97(b)(2 pages)
4. PTO 1449 (7 pages) including 119 references (1 box)
5. Check - \$1208.00 (\$740.00 filing fee; \$468.00 claims)

Date: December 20, 2001
CASE REF.: 06502.0299-00000
JAB:EHA:laf



PLEASE RETURN TO L. FORD - MD: 1035 12-25-01

DK 10
12-21-01

PATENT
Customer Number 22,852
Attorney Docket No. 06502.0299

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)
Ann M. WOLLRATH et al.)
Serial No. 08/636,706) Group Art Unit: 2151
Filed: April 23, 1996) Examiner: Sue X. LAO
For: SYSTEM AND METHOD FOR)
FACILITATING DYNAMIC)
LOADING OF "STUB")
INFORMATION TO ENABLE)
A PROGRAM OPERATING IN)
ONE ADDRESS SPACE TO)
INVOKING PROCESSING OF A)
REMOTE METHOD OR)
PROCEDURE IN ANOTHER)
ADDRESS SPACE)

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed with a Request for Continued Examination, as permitted under 37 C.F.R. § 1.14(c). Applicants respectfully petition and request that the Examiner consider the listed documents and indicate they were considered by making appropriate notations on the attached form.

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PATENT
Customer Number 22,852
Attorney Docket No. 06502.0299

Copies of the listed documents are attached.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claims in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

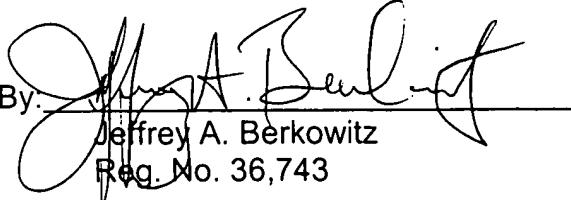
If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

By:

Jeffrey A. Berkowitz
Reg. No. 36,743



Date: December 19, 2001

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